

## Review

# Multiple functions for CD28 and cytotoxic T lymphocyte antigen-4 during different phases of T cell responses: implications for arthritis and autoimmune diseases

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## Abstract

Chronic T cell responses, as they occur in rheumatoid arthritis, are complex and are likely to involve many mechanisms. There is a growing body of evidence that, in concert with the T cell antigen receptor signal, CD28 and cytotoxic T-lymphocyte antigen-4 (CTLA-4; CD152) are the primary regulators of T cell responses. Whereas CD28 primarily activates T cell processes, CTLA-4 inhibits them. The mechanism for this dichotomy is not fully understood, especially as CD28 and CTLA-4 recruit similar signalling molecules. In addition, recent studies demonstrate that CD28 and CTLA-4 have multiple functions during T cell responses. In particular, CTLA-4 exerts independent distinct effects during different phases of T cell responses that could be exploited for the treatment of rheumatoid arthritis.

**Keywords:** CD152, costimulation, CTLA-4Ig, inflammation, polymorphism, signal transduction

## Introduction

A broad repertoire of mature effector T cells with specific, diverse functional capabilities is generated in adaptive immune responses. The differentiation and regulation of these diverse effector cells have to be tightly regulated and controlled to avoid unwanted immune responses. The T cell antigen receptor (TCR) alone provides insufficient signals for optimal T cell stimulation. A second co-stimulatory signal for optimal T cell stimulation is needed. Critical for the generation and control of functional diversity are the costimulatory signals provided during the antigen-specific stimulation of T cells by antigen-presenting cells (APCs) [1]. The quality and magnitude of an antigen-specific immune response are determined not only by the quality of positive costimulation but also by the integration of the absence of positive costimulatory signals and the presence of negative costimulatory signals. CD28 and cytotoxic T lymphocyte antigen-4 (CTLA-4; CD152), two homologous members of the immunoglobulin

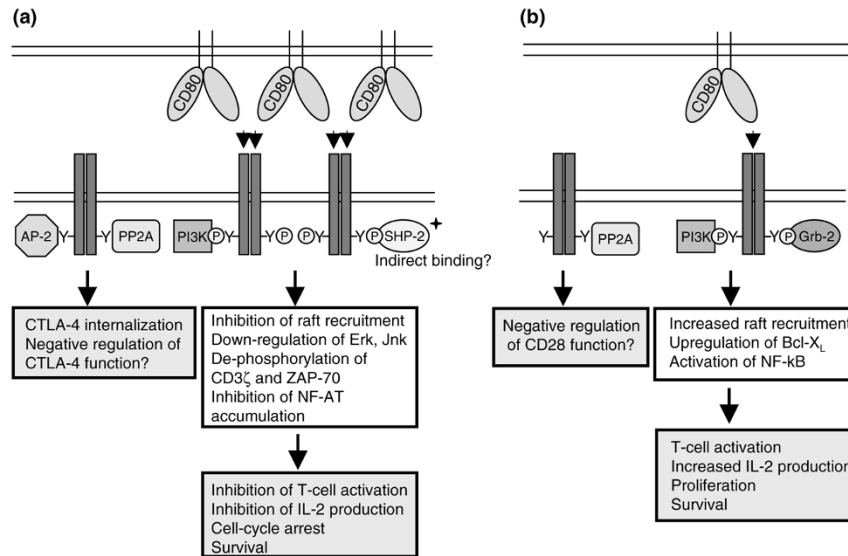
superfamily, are the key receptors for this regulation via positive and negative costimulation [2]. These receptors and their pathways therefore provide promising therapeutic targets for modulating immune responses.

## Expression of CD28, CTLA-4 and their ligands

CD28 and CTLA-4 bind to the same ligands, CD86 (B7-2) and CD80 (B7-1), which are expressed almost exclusively on bone marrow-derived APCs. This restricted expression of the ligands ensures that regulation of T-lymphocyte responses by the T cell molecules CD28 and CTLA-4 is exerted only by specialized, professional APCs. CD80 and CD86 have different kinetics of expression. CD86 is constitutively expressed on dendritic cells, macrophages, and B cells and is further upregulated upon activation [3] (D Gärtner and MC Brunner-Weinzierl, unpublished observation). CD80 is absent from resting cells and is expressed only upon activation of the APC. Because only CD86 is expressed on the cell surface early after the

AICD = activation-induced cell death; APC = antigen-presenting cell; CTLA-4 = cytotoxic T-lymphocyte antigen-4; IL = interleukin; NFAT = nuclear factor of activated T cells; PI-3K = phosphoinositide 3-kinase; PP2A = protein phosphatase 2A; TCR = T cell antigen receptor.

Figure 1



CD28 and cytotoxic T-lymphocyte antigen-4 (CTLA-4) recruit similar and distinct signalling molecules. **(a)** The unphosphorylated CTLA-4 molecule binds the medium-chain subunit of the clathrin adaptor AP-2. This interaction leads to a rapid internalization of CTLA-4 and tight regulation of surface CTLA-4. CTLA-4 is also able to bind the serine/threonine phosphatase protein phosphatase 2A (PP2A). PP2A seems to act as a negative regulator of CTLA-4 function and dissociates from CTLA-4 upon ligand binding. The ligands are the dimeric CD80 and the monomeric CD86. Binding of CD80 to the divalent CTLA-4 leads to the formation of a lattice-like structure on the cell surface. This pattern formation cannot occur by the interaction of CD86 with CTLA-4. Activation of CTLA-4 by binding to its ligands leads to the phosphorylation of tyrosine residues in the cytoplasmic tail of CTLA-4 and its association with phosphoinositide 3-kinase (PI-3K) and (perhaps indirectly) the tyrosine phosphatase SHP-2. The immediate consequences of these interactions are unclear but eventually lead to an inhibition of T cell activation. This includes decreased raft recruitment to the plasma membrane, decreased phosphorylation of CD3- $\zeta$  and ZAP-70, downregulation of mitogen-activated protein kinases such as extracellular signal-related kinase and c-Jun N-terminal kinase, and inhibition of the nuclear translocation of the transcription factors AP-1 and nuclear factor of activated T-cells (NFAT). This results in decreased interleukin (IL)-2 production and cell-cycle arrest. **(b)** In its unphosphorylated state CD28 binds the serine/threonine phosphatase PP2A. CD28 shares the same ligands, CD80 and CD86, as CTLA-4. However, because CD28 is monovalent it is not able to form higher-order structures after interaction with CD80. The tyrosine phosphorylation of CD28 after stimulation by CD80 or CD86 is followed by the association of PI-3K and Grb-2 to the cytoplasmic tail of CD28. This leads to increased T cell activation, indicated by enhanced raft expression and upregulated production of IL-2. The increased survival is a consequence of upregulated Bcl-X<sub>L</sub> and the activation of nuclear factor (NF)- $\kappa$ B.

activation of APCs, it is indispensable during the initiation phase of the immune response, which is demonstrated by data showing altered immune regulation in CD86 knockout mice [4]. CD80 and CD86 have overlapping functions [5], despite different binding determinants, different dissociation kinetics, and different binding affinities for CD28 and CTLA-4. Differences in their functions seem to be due to different kinetics of expression on different cell types. The crystal structures and an analysis of the binding affinities and kinetics of CTLA-4 with its ligands suggest that CD86 monomers bind to CTLA-4 dimers, whereas CD80 dimers bind two adjacent bivalent CTLA-4 dimers, building a lattice-like network (Fig. 1) [6–8]. In contrast, CD28 is monovalent and only able to bind a single CD80 or CD86 molecule. Interestingly, CTLA-4 has a much higher binding affinity for CD86 and CD80 than CD28 [9]. At 0.2  $\mu$ M, the affinity of the CTLA-4–CD80 interaction is one of the highest described for surface molecules.

CD28 is constitutively expressed on naive CD4<sup>+</sup> T cells and is slightly upregulated after T cell activation. The expression of CTLA-4 mRNA is detectable in naive T cells within 1 hour after activation [10]. After activation of the T cell, intracellular CTLA-4 protein increases steadily in concentration and is stored in vesicles. Intracellular CTLA-4 protein is still detectable after a resting period of a week [11]. Because CTLA-4 protein can be detected intracellularly 24–48 hours after the onset of T cell activation, it has been suggested that the molecule is probably also expressed on the cell surface of these cells and is functional. Surface expression of CTLA-4 does not peak until 48–72 hours after T cell stimulation, and it has recently been shown that only a fraction of activated T cells express it on the cell surface [11]. The localization of CTLA-4 on the cell surface is regulated by the association of clathrin-coated pit adaptor protein AP-2 with the intracellular tyrosine-based motif of CTLA-4 (Fig. 1) [12,13]. CTLA-4 molecules are mobilized toward the sites of

antigen receptor engagement and are probably displayed at the immunological synapse [14,15].

The highly restricted regulation of CTLA-4 localization in a cell suggests that the restricted surface expression of CTLA-4 is a major control point for the regulation of the inhibitory function of CTLA-4 on T cells. A quantitative increase in the surface expression of CTLA-4 has been suggested to correlate with the number of cell cycles [16]. This would imply that the transcription machinery of the CTLA-4 gene would have the ability to count cell cycles and convert this information into expression. However, using a sensitive detection method to detect CTLA-4 on the surface of activated T cells with a sensitivity of less than 200 molecules per cell, it can be shown that expression is independent of the proliferative history of the cell and is exclusively dependent on the time elapsed since the onset of activation of the T cell [11]. The expression of surface CTLA-4 is not correlated with the proliferative history, nor is proliferation a mandatory prerequisite for CTLA-4 expression. Furthermore, the instruction for a T cell to express surface CTLA-4 2 days after the onset of the activation requires less than 12 hours of T cell stimulation, implying that the induction of CTLA-4 surface expression and its function can happen at distinct sites in the body. This result has major implications for the response of activated T cells, because the cells that receive the instruction to express surface CTLA-4 in this time window will eventually express CTLA-4, with all the consequences.

Surface CTLA-4 is rarely expressed on activated primary CD4<sup>+</sup> T cells and is expressed at higher frequencies after restimulation [11], indicating that it is an important regulator of responses of antigen-experienced T cells. This was confirmed by comparing the T cell responses of monospecific CTLA-4<sup>-/-</sup> and CTLA-4<sup>+/+</sup> cells [17]. No difference between these populations was detectable during a primary response, but there was enhanced expansion of CTLA-4<sup>-/-</sup> T cells in a secondary response. After initial activation, naive CD4<sup>+</sup> cells differentiate into Th1 and Th2 cells, which secrete distinct sets of cytokines. Studies on CTLA-4 expression of differentiated Th1 and Th2 cells have been performed mostly in Th1 and Th2 long-term T cell clones [18]. With the use of a conventional detection method, it could be shown that Th2 clones express surface CTLA-4, whereas the protein was undetectable on Th1 clones. Hence, the differentiation history of an activated naive T cell apparently correlates with CTLA-4 surface expression after re-encountering an antigen. This also implies that mainly antigen-experienced T cells express surface CTLA-4 and are probably regulated by it [17–19]. This fact is of particular interest for already established T cell responses driven by antigen-experienced T cells as they occur during chronic immunopathology.

### Controlled T cell activation by CD28 and CTLA-4

CD28 and CTLA-4 have distinct functions during T cell activation. Triggering of CD28 enhances raft accumulation and the accumulation of transcription factors, such as AP-1 and nuclear factor of activated T cells (NFAT), in the nucleus; this strongly upregulates the initiation of interleukin (IL)-2 transcription [20]. CD28 also enhances the mRNA stability of cytokine genes, for example IL-2 and interferon- $\gamma$  [21], as well as the expression of G1-kinases, a prerequisite for cell cycling. In addition, the induction of IL-2 leads to autocrine support for the activation of the cell cycle machinery; the IL-2 signal induces the degradation of the cell cycle inhibitor p27 and expression of G1-kinases, which ultimately leads to T cell proliferation [22].

CTLA-4 seems to be an important downregulator of T cell activation. As early as 4 hours after the onset of T cell activation, crosslinking of CTLA-4 by specific antibodies shows that it is expressed functionally by at least some T cells and prevents complete T cell activation [22]. The main effect of CTLA-4 engagement during T cell activation is probably the inhibition of transcription of the IL-2 gene by preventing NFAT translocation to the nucleus [22]. This might just be a consequence of the prevention of T cell activation in general; nevertheless, CTLA-4 also directly inhibits the expression of key components of the cell cycle machinery such as cyclin D3, cyclin-dependent kinase (Cdk)4, and Cdk6, which are partly IL-2 dependent and partly upregulated independently. The expression of activation-induced molecules such as CD69 and CD25 is also prevented by crosslinking of CTLA-4 [23].

So far, CTLA-4 protein has not been detected on the cell surface of naive or resting CD4<sup>+</sup> T cells. But even small amounts of CTLA-4 could potentially inhibit T cell activation when CD80/CD86 molecules are expressed at low levels because CTLA-4 has a much higher affinity than CD28 for CD80/CD86 [2]; moreover, it is preferentially localized in lipid rafts [24]. According to earlier results, CTLA-4 mRNA was detectable in naive CD4<sup>+</sup> T cells [25], but enhanced surface staining for CTLA-4 performed on naive T cells did not detect CTLA-4 protein [11]. The surface CTLA-4 is therefore expressed at very low concentrations (fewer than 100–200 CTLA-4 molecules per cell) on naive T cells and functional at this expression level during early T cell receptor triggering, or surface CTLA-4 is quickly and shortly upregulated after CD4<sup>+</sup> T cell activation, at least from some cells, which has been reported for other molecules such as IL-4 [26–30].

### Signal transduction of CD28 and CTLA-4

The mechanisms by which CD28 and CTLA-4 transmit their respective signals are not well understood. Despite their opposing roles in T cell function, both molecules share some basic features. It has been shown that not only do CD28 and CTLA-4 compete for the ligands CD80

and CD86 [22,23] but both also initiate signalling pathways. However, both molecules lack intrinsic catalytic activity in their cytoplasmic tails and they therefore require association with further signalling molecules. Despite their opposing functions during T cell responses, CD28 and CTLA-4 interact with identical signalling molecules: the phosphoinositide 3-kinase (PI-3K) and the protein phosphatase 2A (PP2A) (Fig. 1) [31–34]. However, the functional relevance and consequences of these shared properties are not well understood.

It is still controversial whether CD28 transmits a unique signal or only amplifies TCR signals. After engagement of CD28 by its ligand, tyrosine residues in the cytoplasmic tail of CD28 become phosphorylated by Src-family kinases [35], leading to the binding of PI-3K to CD28 [31,32]. Additionally, CD28 triggering induces the phosphorylation and activation of the kinases Tec and Itk [36,37] as well as other signalling molecules such as the guanine-nucleotide-exchange factor Vav-1 or phospholipase C $\gamma$ 1 [38]. All of these molecules are also activated by TCR signalling, so CD28 might only be an amplifier. A unique signal could arise from the dependence of full phospholipase C $\gamma$ 1 activation on a signal provided by CD28 that involves PI-3K, Vav-1, and the adapter molecule SLP-76 [39].

In another model, CD28 sets the threshold for T cell activation and amplifies the TCR signal by enhancing the recruitment of lipid rafts to the plasma membrane [40,41]. In resting/naive cells, lipid rafts are stored in intracellular vesicles and are redistributed to the plasma membrane after stimulation. This redistribution is strongly enhanced by CD28 and facilitates the full signal leading to T cell activation. However, the signal required for raft relocalization is unknown at present.

Indications for both the quantitative and the qualitative signal mediated by CD28 can be derived from the analysis of gene expression after stimulation with TCR alone, CD28 alone, or a combination of TCR and CD28 [42]. This study shows that CD28 acts primarily as a signal amplifier of TCR signalling but also leads to the activation of a few, though important, distinct genes (such as CD69 and tumor necrosis factor).

Like CD28, CTLA-4 becomes phosphorylated on tyrosine residues after stimulation, which is mediated by Src-family kinases, JAK-2 or Rlk [43–45]. The tyrosine residue is located within a YVKM motif and this has been shown to serve as the binding site for several molecules (Fig. 1). In its unphosphorylated state this motif is bound to the medium-chain subunit AP-50 of the AP-2 clathrin adapter [12,13], leading to the rapid endocytosis of CTLA-4. In contrast, tyrosine phosphorylation results in the surface retention of CTLA-4 and the binding of PI-3K to the YVKM

motif [33]. It has been also described that CTLA-4 can be found in a complex together with CD3 $\zeta$  and the tyrosine phosphatase SHP-2 [46–48]. The direct interaction between SHP-2 and the signalling molecule CD3 $\zeta$  is thought to be a mechanism by which CTLA-4 downregulates TCR signalling. This could also explain the observation that CD3 $\zeta$  is hyperphosphorylated in CTLA-4 knockout mice [46]. However, the crosslinking of CTLA-4 in combination with TCR and CD28 did not lead to a decreased phosphorylation of CD3 $\zeta$  [49]. In addition, our own results, gained by the retroviral transduction of SHP-2 mutants into primary T cells, do not support the idea of a prominent contribution of SHP-2 in CTLA-4 signalling (H Hoff and MC Brunner-Weinzierl, unpublished observation).

A second phosphatase that has been shown to interact with CTLA-4 is the serine/threonine phosphatase PP2A [34,50]. Because PP2A has been described as a negative regulator for the mitogen-activated protein kinases extracellular signal-related kinase and c-Jun N-terminal kinase, and these molecules are downregulated after CTLA-4 engagement [49], PP2A might serve as the mediator for these downstream effects of CTLA-4. However, so far only the opposite role for PP2A as a negative regulator for CTLA-4 function has been described [50].

CTLA-4 is also able to interfere with raft recruitment to the plasma membrane. It has been shown that CTLA-4 can be found in lipid rafts [24] and is able to suppress raft aggregation mediated by TCR and CD28 [51]. This mechanism would account for a general downregulation of early T cell activation events by CTLA-4, such as a lack of NFAT translocation to the nucleus and IL-2 gene transcription but would dismiss further downstream specific CTLA-4 signals [22,42]. The nature of this specific signal is still unknown. Further studies should seek to analyze the integration of the CTLA-4 signal into the cell signalling machinery [11] on cells that have already formed rafts. We have recently reported that already upregulated molecules such as the  $\alpha$ -chain of the IL-2 receptor cannot be downregulated by CTLA-4 on activated T cells [11], suggesting that the gene transcription of activated T cells, rather than the regulation of proteins, is altered by CTLA-4.

It is not yet clear whether CTLA-4 interferes with CD28 costimulation or with TCR stimulation. Most probably it interferes with both via the inhibition of raft accumulation, because it inhibits TCR-mediated effects such as the upregulation of cyclin-dependent kinases and CD28-mediated effects such as enhanced accumulation of NFAT in the nucleus [22]. However, the engagement of CTLA-4 does not interfere with the CD28-mediated stabilization of IL-2 mRNA [22].



## Responses of already activated T cells

The control of T cells after a successful stimulation – whereby T cells accumulate rafts at the cell surface, produce growth factors such as IL-2, and proliferate – is still a matter of debate. CD28 does not exclusively provide costimulatory function on already activated T cells, because activated T cells also express other costimulatory molecules such as ICOS. However, constitutively expressed CD28 on T cells is needed to prolong T cell responses. This is indicated by data from CD28 knockout mice [52] in which immunization can initiate, but not sustain, T cell responses.

Detectable CTLA-4 surface expression does not peak until 48–72 hours after the onset of T cell activation, when it probably exerts its main function. Most studies indicate that triggering of CTLA-4 downregulates the proliferation and cytokine production of the entire T cell population, but this conclusion is probably due to difficulties in detecting surface-expressed CTLA-4 [22,23,47,53–55]. Applying a highly sensitive detection method for surface molecules, we showed recently that at all time points after the onset of an antigen-specific T cell response, CTLA-4 expression was limited to a minority of activated cells with a maximum frequency of surface CTLA-4<sup>+</sup> T cells at 48 hours [11]. It has been shown that CTLA-4 expression needs TCR signaling and is synergistically enhanced by CD28 and IL-2 signals, which are undoubtedly stochastic components of the strength of activation of T cells likely to be involved [14,56]. In addition, Allison's group has shown by microscopy that CTLA-4 traffics differentially to the immunological synapse depending on the strength of the signal [15], suggesting that CTLA-4 inhibits some T cell clones with a high-affinity TCR by decreasing their competitive advantage over clones with a low-affinity TCR [57]. Preferential inhibition of T cells with a high-affinity TCR would prevent these clones from dominating the response during early stages and would thereby help to maintain the diversity of antigen-specific cells.

The functional consequence of the heterogeneous surface expression of CTLA-4 was demonstrated only recently when highly activated proliferating T cell populations were separated on the basis of surface CTLA-4 expression and restimulated [11]. The CTLA-4-expressing cells did not divide at all, whereas all CTLA-4<sup>-</sup> cells went through at least one more cell cycle. The inhibition of proliferation was mediated by CTLA-4 engagement during restimulation of the CTLA-4<sup>+</sup> T cells as shown by CTLA-4 blockade with specific Fab fragments. No difference in the proliferative response was seen when CTLA-4 was blocked in isolated restimulated CTLA-4<sup>-</sup> T cells. Thus, the diversity of clonal T cell proliferation is mediated by the differential expression of CTLA-4 on the cell surface of activated individual T lymphocytes. This raises the possibility that surface CTLA-4-expressing cells might also

have heterogeneous fates. It will be important to determine whether the surface expression of CTLA-4 restricts only the expansion of T cells that receive a strong signal or whether surface CTLA-4-expressing cells represent a distinct pool of memory T cells [11,15].

## Control of apoptosis by CD28 and CTLA-4

The decision between the survival and apoptosis of T cells is of particular importance for adaptive immune responses to ensure that a defined number of specialized T cells remain in the organism, thus maintaining memory and homeostasis. The primary form of apoptosis of clonally expanded T cells is activation-induced cell death (AICD), which is controlled mainly by the Fas (CD95) system [58,59]. Despite the apparently opposing roles of CD28 and CTLA-4 on T cell functions, synergistic signal transduction is still a possibility because of their similar recruitment of signalling molecules such as PI-3K as described above [33]. PI-3K is an important signalling node for activating survival pathways via Akt activation [60,61]. CD28-mediated inhibition of AICD has been associated with the upregulation of cellular FLICE-inhibitory protein (c-FLIP) and Bcl-x<sub>L</sub> and with the inhibition of FasL expression [62]. Because the upregulation of apoptosis-inducing molecules is activation dependent, CTLA-4 crosslinking during T cell activation prevents T cell activation rather than terminating AICD. Thus, these unactivated or incompletely activated T cells are not prone to AICD and do not upregulate FasL [63].

CTLA-4 ligation in previously activated concanavalin A-induced blasts or anti-CD3-stimulated T cells has been suggested to induce apoptosis, thus terminating the T cell response [16,64]. This would mean that activated T cells are stopped by CTLA-4 from proliferating just to be eliminated by apoptosis, which would happen anyway by AICD. We observed that resistance to AICD is mediated by CTLA-4 on already activated Th cells. This CTLA-4-induced resistance is dependent on the suppression of the Fas system and is mediated by PI-3K [65]. This activity of CTLA-4 could explain the observation that Rag2-deficient mice reconstituted with a mixture of CTLA-4<sup>+/+</sup> and CTLA-4<sup>-/-</sup> T cells do not show enhanced, but rather decreased, total numbers of lymphocytes after infection with lymphocytic choriomeningitis virus and *Leishmania major* [66]. This surprising observation indicates that CTLA-4 affects T cell survival not only in a non-autonomous fashion but eventually also by modulating the expression of a proapoptotic factor [66].

## Indirect inhibitory effects of CTLA-4

The CTLA-4 knockout mouse shows a dramatic phenotype [2,54]. It develops a lymphoproliferative disease and dies at 4–5 weeks of age. But bone marrow chimeras derived from CTLA-4<sup>+/+</sup> and CTLA-4<sup>-/-</sup> cells do not show the lymphoproliferative disorder known from CTLA-4

knockout mice, suggesting that CTLA-4-mediated inhibition is at least not only cell autonomous [67]. Non-autonomous indirect effects of CTLA-4 have been suggested, such as the possibility that tolerance induction by CTLA-4 might actually work via the APC. CTLA-4 crosslinking of its ligands CD80/CD86 on the surface of dendritic cells makes them the principal mediator of inhibition [68]. Ligation of CD80/CD86 induces the production of indoleamine 2,3-dioxygenase, which breaks down tryptophan. The absence of tryptophan mediates the downregulation of T cell activation. This mechanism is not completely understood; for example, interferon- $\gamma$  is obligatory for its induction, which would mean that any Th1 response could initiate similar effects. Indirect inhibitory effects have been described involving the induction of transforming growth factor- $\beta$  expression by CTLA-4; this has not been confirmed by others [69,70].

Other indirect inhibitory effects mediated by CTLA-4 are attributed to  $T_{reg}$  cells, which express large amounts of intracellular CTLA-4 concomitantly with CD25 and show prolonged surface expression of CTLA-4 after activation [71]. It is still controversial whether CTLA-4 is needed for  $T_{reg}$  cell effector function. On the one hand, CTLA-4<sup>-/-</sup>  $T_{reg}$  cells are able to downregulate the activation of target cells; on the other, blockade of CTLA-4 abrogates the inhibitory function of  $T_{reg}$  cells [72]. Interestingly, naive T cells, converted to  $T_{reg}$  cells by retroviral transduction with the transcription factor FoxP3, show high expression of CTLA-4 [73]. Because CD25 is apparently only a surrogate marker for  $T_{reg}$  cells, and the transcription marker FoxP3 is expressed only intracellularly, prolonged expression of surface CTLA-4 could be a good marker for identifying  $T_{reg}$  cells viable for the autologous cell therapy of chronic inflammations.

### Polymorphisms of CTLA-4 in rheumatoid arthritis (RA) and other autoimmune diseases

The human CD28 and CTLA-4 genes map to chromosome 2q33 and are separated by about 60 kilobases. The homologies between CD28 and CTLA-4 strongly suggest that both genes arose by gene duplication. High evolutionary pressure, especially on the CTLA-4 gene, is demonstrated by comparing human and mouse sequences: the homology of the DNA sequence is 78%, and that of the protein sequence is 74%. Nevertheless, four polymorphisms of the CTLA-4 gene have been identified in humans. There is a C→T transition at position -318 of the promoter sequence and a G→A transition at position +49 of exon 1, resulting in an alanine to threonine amino acid substitution in codon 17 of the leader peptide. A third polymorphism is a dinucleotide repeat of about 7–32 ATs in exon 3, and a fourth has been mapped to the 3' untranslated region of the CTLA-4 gene. All four polymorphisms have been investigated for linkage with autoimmune diseases.

The functional consequences have been described for some of these polymorphisms. For example, T cells from people carrying the G allele at position +49 showed increased proliferation in combination with a lower expression of CTLA-4 on T cells [74,75], whereas people carrying the protective A allele of the CTLA-4 gene have an increased expression of CTLA-4 on T cells and decreased proliferative capacity [76]. This suggests that carrying the susceptible G allele of CTLA-4 will result in a loss of peripheral tolerance, leading to autoimmune pathology.

The studies analyzing the possible association between a CTLA-4 polymorphism and an autoimmune disease vary greatly in their outcome. In type 1 diabetes, most studies indicate that the occurrence of the G allele in position +49 constitutes a risk factor, whereas the AA genotype is protective [77–80]. This linkage to disease was found in Italian, Romanian, Chinese, and German people. In contrast, others did not find a linkage between CTLA-4 polymorphisms and type 1 diabetes in French and Czech populations [81,82]. For multiple sclerosis, most studies showed no indication for a contribution of CTLA-4 polymorphisms at positions -318 and +49 as a disease risk factor in Canadian, Polish, Finnish, and Dutch populations [83–86], whereas others found an association between the G allele of the CTLA-4 gene at position +49 and the severity of multiple sclerosis in Swedish and German patients [87,88].

A recent study in mice identified a disease-susceptibility polymorphism of the CTLA-4 gene affecting CTLA-4 splicing in exon 2. An A→G transition leads to the skipping of exon 2, resulting in an increase in the expression of a ligand-independent isoform of CTLA-4 [89]. In humans, a new CTLA-4 polymorphism was found in the 3' untranslated region 2 kilobases upstream of the stop codon of CTLA-4 [88]. An A→G transition is associated with autoimmune diseases such as Grave's disease, type 1 diabetes, and autoimmune hypothyroidism [89]. This polymorphism of the CTLA-4 gene affects the splicing of CTLA-4 mRNA; interestingly, this results in a lower expression of the soluble form of CTLA-4 mRNA. The authors of the study speculate that a reduced interaction of B7 and soluble CTLA-4 might lead to enhanced T cell stimulation. However, the functional consequences of these findings are still unknown.

The contribution of CTLA-4 polymorphisms to the risk of developing RA is still controversial. Whereas some studies show no association of the CTLA-4 polymorphism in people from Spain, the UK, and Korea [90–92], others show CTLA-4 as a disease risk factor in Spanish and Chinese populations [93–95]. More detailed studies combining the CTLA-4 polymorphisms with the HLA genotype of patients found a correlation between the G allele of CTLA-4 (+49) and the HLA genotype HLA-DRB1,

known to be a susceptibility gene for RA [96–99]. This correlation was found in German, Japanese, French, Italian, and Portuguese populations. This finding stresses the point that the inheritance of autoimmune diseases are most probably due to multiple susceptible genes and also to environmental factors. Thus, minor susceptibility loci are difficult to identify but still modify risk.

### The multiple-function model

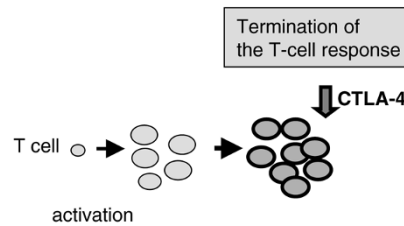
Taken together, the new insights into the functional consequences of CTLA-4 engagement allow the proposal of a new model of three distinct functions of CTLA-4 that might be relevant under different circumstances (Fig. 2). First, CTLA-4 sets the threshold for T cell activation, and thus probably contributes to maintenance of peripheral tolerance [100]. However, the observation that the expression of surface CTLA-4 after the activation of T cells is detectable on proliferating cells with an activated phenotype indicates that during an optimal T cell response the CTLA-4-mediated inhibition of early T cell activation is dispensable [11]. Second, whereas only a fraction of activated T cells express CTLA-4 at the cell surface, CTLA-4 has additional functions in already activated T cells: (1) to restrain T cell proliferation and (2) to initiate the survival of T cells. Cells that express a CTLA-4 signal will be inhibited in their proliferation and survive, whereas cells that do not express CTLA-4 will exhibit a brief spurt of enhanced proliferation to eliminate foreign pathogens and will then die, ensuring that the response is stopped. A fraction of surviving cells at the end of the immune response are potential progenitors for memory cells.

### Blockade of CD28 and CTLA-4 ligands by CTLA-4Ig during chronic immune responses

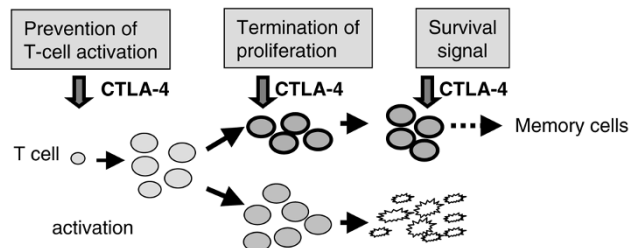
CTLA-4Ig is constructed by genetically fusing the external domain of human CTLA-4 to the heavy-chain constant region of human IgG1. CTLA-4Ig binds CD80 and CD86 on APCs, interfering with B7/CTLA-4 and B7/CD28 ligation. In collagen-induced arthritis in rats, CTLA4Ig has prevented disease induction via a blockade of co-stimulation by CD28 during T cell activation [101]. However, the prevention of T cell activation by CTLA-4Ig is not complete, as shown by the finding that co-administration of CTLA-4Ig with adoptively transferred TCR<sup>tg</sup> T cells into primary immunized mice resulted in reduced, but not completely abolished, expansion of antigen-specific T cells [102]. However, the prevention of CD28 signals could also block the activation of beneficial T cells, which has been suggested for transplantation [103]. Administration of CTLA-4Ig at the time of transplantation enhances transplant rejection, presumably by preventing the induction of regulatory T cells. In addition, the function of CTLA-4Ig is very probably more complex when administered during continuing responses, because such responses consist of several individual T cell responses at different stages running simultaneously (Fig. 2).

**Figure 2**

#### Traditional view



#### Multiple function model



Multiple-function model for cytotoxic T-lymphocyte antigen-4 (CTLA-4). The traditional view of the function of CTLA-4 is that it is upregulated upon stimulation of the T cells and attenuates the response (top). The newly proposed model puts together new insights into CTLA-4 functions (bottom). (1) During suboptimal T cell activation, CTLA-4 sets the threshold for activation. (2) Already activated T cells are inhibited in their proliferation by CTLA-4. (3) CTLA-4 signalling enhances PI-3K function, triggering cell-autonomous survival signals in already activated T cells. Surviving cells at the end of an immune response could be prone to differentiation into memory cells.

In addition, the ligands for both receptors, CD28 and CTLA-4, are blocked by CTLA-4Ig, thus leaving different distinct differentiation processes and effector functions of newly recruited and activated T cells uncontrolled.

During chronic inflammation, the stimulation of antigen-experienced T cells is, at least partly, independent of CD28 signalling, putting CTLA-4/CD80 and CTLA-4/CD86 into the spotlight of the CTLA-4Ig treatment. Furthermore, it has been shown that CD28<sup>-</sup> T cells, which upregulate CTLA-4, contribute to the immunopathology of RA or might even drive it [104]. Blocking CD28 and CTLA-4 signals could lead to either enhanced apoptosis and thus more cytokine production by reduced CTLA-4 signals. However, we feel that under some circumstances the T cell proliferation of activated CTLA-4<sup>-/-</sup> T cells *in vitro* is overemphasized, because no difference in proliferation could be detected in bone marrow chimeras generated from a mixture of wild-type and CTLA-4<sup>-/-</sup> cells [66,67]. Thus, the third function of CTLA-4 that we propose here, namely the control of survival and apoptosis, might be more relevant [65,66]. This mechanism

could contribute to the success of the treatment of RA patients with CTLA-4Ig (see below).

However, whatever mechanisms are acting during the CTLA-4Ig treatment of RA, a recent double-blind study on 339 RA patients receiving treatment with 10 mg/kg CTLA4Ig concomitant with methotrexane showed significant improvement over the placebo group from month 2 to month 6 [105]. Only a very slight increase in infections was observed in comparison with methotrexate alone, but health-related quality of life and both clinical and laboratory markers of disease activity were significantly improved. The significance of the finding that two patients developed seroconversion for CTLA-4-specific antibodies means that autoimmunity needs to be further investigated.

### Conclusion

In several studies, the use of CTLA-4Ig to treat patients with RA and other inflammatory diseases was shown to be successful, pinpointing T cells and their costimulation as an important target for therapy. However, the precise mechanism is not yet fully understood, because costimulation is very complex. The precise function of distinct costimulatory molecules depends on the differentiation and activation status of the T cells as well as the immunological microenvironment. Thus, a better understanding of costimulation is of great importance and might lead to even more specific strategies for novel immunotherapy of RA and other autoimmune diseases.

### Competing interests

None declared.

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